

# Strongly generic monomial ideals

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## 1 Introduction

The purpose of this lecture is to present a class of monomial ideals for whom a minimal graded free resolution can be easily described.

In Section 2 we recall what planar graphs are, and we give a criterion for planarity. The Buchberger graph ( $Buch(I)$ ) of a monomial ideal  $I$  is defined.

In Section 3 we study first the strongly generic ideals  $I$  in three indeterminates. We prove in Proposition 3.8 that  $Buch(I)$  is a planar and connected graph. When  $I$  is also artinian, then  $Buch(I)$  is made up of the edges of a triangulation of a triangle.  $Buch(I)$  can be embedded on the staircase surface of the ideal and using Construction 3.9 we show that this embedding produces a minimal multigraded free resolution of  $I$  (see Theorem 3.11). But in 3 variables,  $Buch(I)$  is the 1-skeleton of  $\Delta_I$ , the Scarf complex of  $I$ . Extending the Construction 3.9 to polynomial rings in  $n$  variables and labeling arbitrary simplicial complexes, we prove a theorem of Bayer, Peeva and Sturmfels which says that the Scarf complex of a strongly generic ideal is the support for a minimal free resolution of the ideal (Theorem 3.22).

Our presentation follows [4] and the original article [1] of Bayer, Peeva, and Sturmfels who introduced the notion of strongly generic ideals.

## 2 The Buchberger graph and planar maps

For the beginning, we present the following:

**Definition 2.1.** *The **Buchberger graph**  $Buch(I)$  of a monomial ideal*

$$I = \langle m_1, \dots, m_r \rangle \subset S := \mathbb{K}[X_1, \dots, X_n]$$

*has vertices  $1, 2, \dots, r$  and  $\{i, j\}$  is an edge if and only if there is no monomial generator  $m_k$  with  $m_k \mid LCM(m_i, m_j)$  and  $\deg_{x_u} m_k < \deg_{x_u} LCM(m_i, m_j)$  for any variable  $x_u \mid LCM(m_i, m_j)$ .*

In [4] it is shown that  $Buch(I)$  is useful when computing Gröbner bases. In the following we shall see how  $Buch(I)$  can also be used to compute free resolutions (for some classes of ideals  $I$ ).

**Example 2.2.** If  $n = 2$  and  $I \subset \mathbb{K}[X, Y]$  a monomial ideal, in  $Buch(I)$  there is an edge only between "adjacent" generators. See Figure 2.2 below:

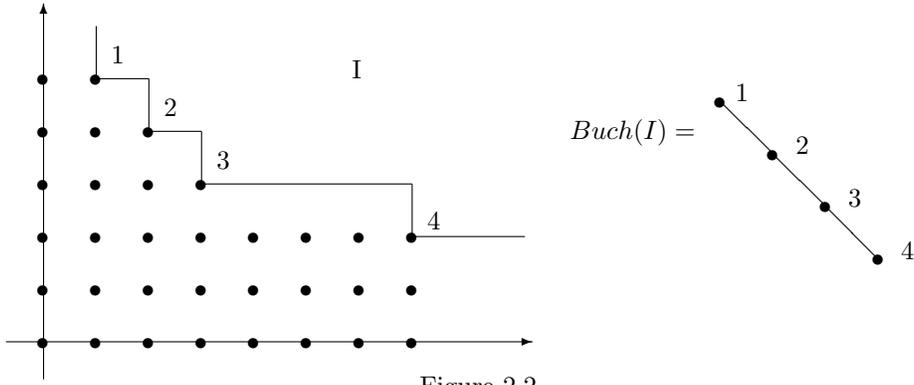
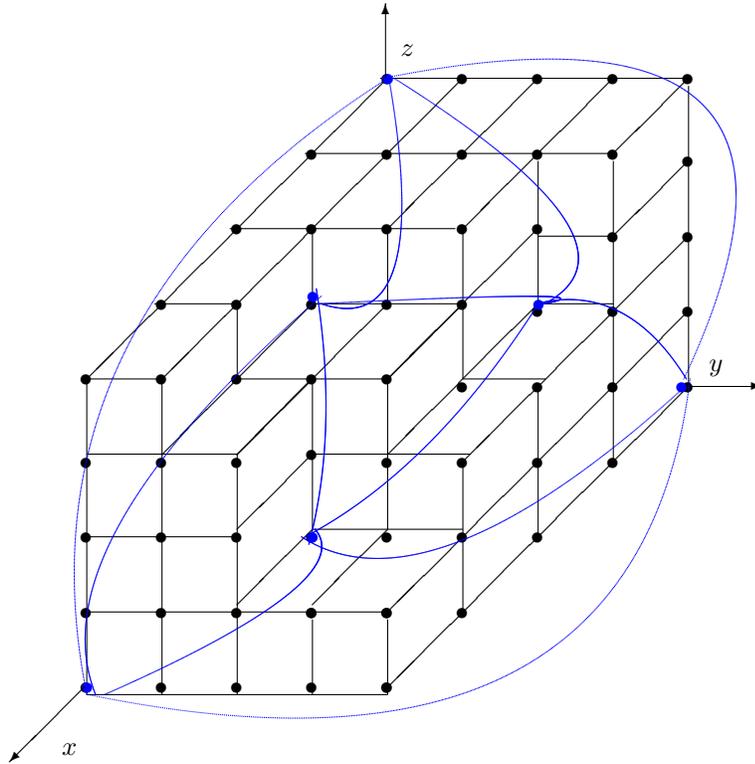


Figure 2.2

**Example 2.3.** For the (strongly generic) ideal

$$I = \langle X^4, Y^4, Z^4, XY^3Z^2, X^2YZ^3, X^3Y^2Z \rangle,$$

$Buch(I)$  is depicted in Figure 2.3. We notice that it lies naturally on the shaded surface and it is thus a planar graph.



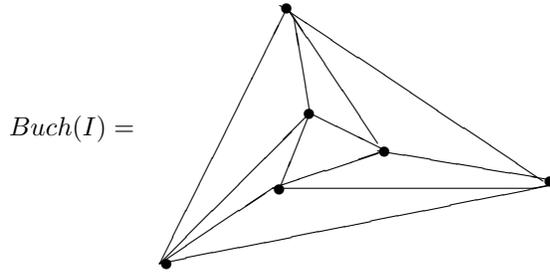


Figure 2.3

**Definition 2.4.** A graph  $G$  is called **planar** if there is an embedding of the graph in a plane  $\pi$ , i.e. there is an injective map  $\varphi$  from the vertices of  $G$  to the points in  $\pi$  and to each edge  $\{i, j\}$  we attach a non self-intersecting path  $\varphi_{ij}$  in  $\pi$  from  $\varphi(i)$  to  $\varphi(j)$  such that no two such paths intersect, but at their ends if the edges have a vertex in common.

In the literature it is known the following result of Kuratowski:

**Theorem 2.5.** [3](Kuratowski) A graph  $G$  is planar if and only if  $G$  does not contain any subgraph isomorphic with  $K_{3,3}$  or with  $K_5$ .

**Remark 2.6.** We recall here that  $K_{3,3}$  is the complete bipartite graph on 3 vertices and  $K_5$  is the complete graph on 5 vertices. They are drawn in Figure 2.6:

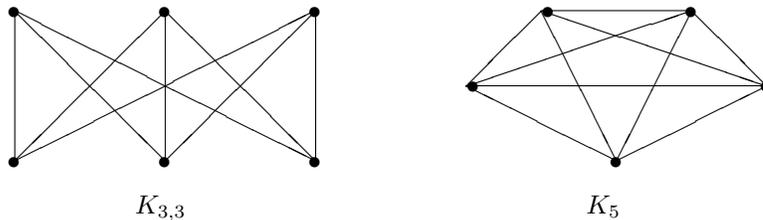


Figure 2.6

**Remark 2.7.** There exist an efficient algorithm (linear in the number of vertices) that determines whether a graph is planar or not. See [3] for more details.

**Remark 2.8.** Even if we know that a certain graph is planar, we need to find its embedding in the plane. This embedding is not unique.

**Definition 2.9.** A **planar map** is a graph  $G$  together with an embedding into a surface homeomorphic to the plane  $\mathbb{R}^2$ .

**Definition 2.10.** For  $I \subseteq \mathbb{K}[X, Y, Z]$  a monomial ideal, its **staircase surface** (denoted  $\mathcal{S}(I)$ ) is the topological boundary of the set of vectors  $(a, b, c) \in \mathbb{R}_+^3$  for which there exist a monomial  $X^{a_0}Y^{b_0}Z^{c_0} \in I$  with  $a_0 \leq a$ ,  $b_0 \leq b$  and  $c_0 \leq c$ .

**Remark 2.11.**  $\mathcal{S}(I)$  is a bounded set (equivalently, it is compact) if and only if  $I$  is an artinian ideal (i.e.  $S/I$  is an artinian  $S$ -module).

**Remark 2.12.** If  $(a, b, c) \in \mathcal{S}(I)$  and  $X^a Y^b Z^c \in I$ , then  $(a+1, b+1, c+1) \notin \mathcal{S}(I)$ .

**Proposition 2.13.** The orthogonal projection of  $\mathcal{S}(I)$  on a plane with normal vector  $(1, 1, 1)$  is a homeomorphism on the image.

**Example 2.14.** In Example 2.3,  $Buch(I)$  is embedded on  $\mathcal{S}(I)$ .

**Example 2.15.** Consider the ideal

$$I' = \langle X^2Z, XYZ, Y^2Z, X^3Y^5, X^4Y^4, X^5Y^3 \rangle.$$

This is not an artinian ideal, hence  $\mathcal{S}(I')$  is not bounded. In Figure 2.15 we can see the staircase surface of  $I'$  and its Buchberger graph. This is not a planar graph, as  $K_{3,3}$  can be embedded in  $Buch(I')$ .

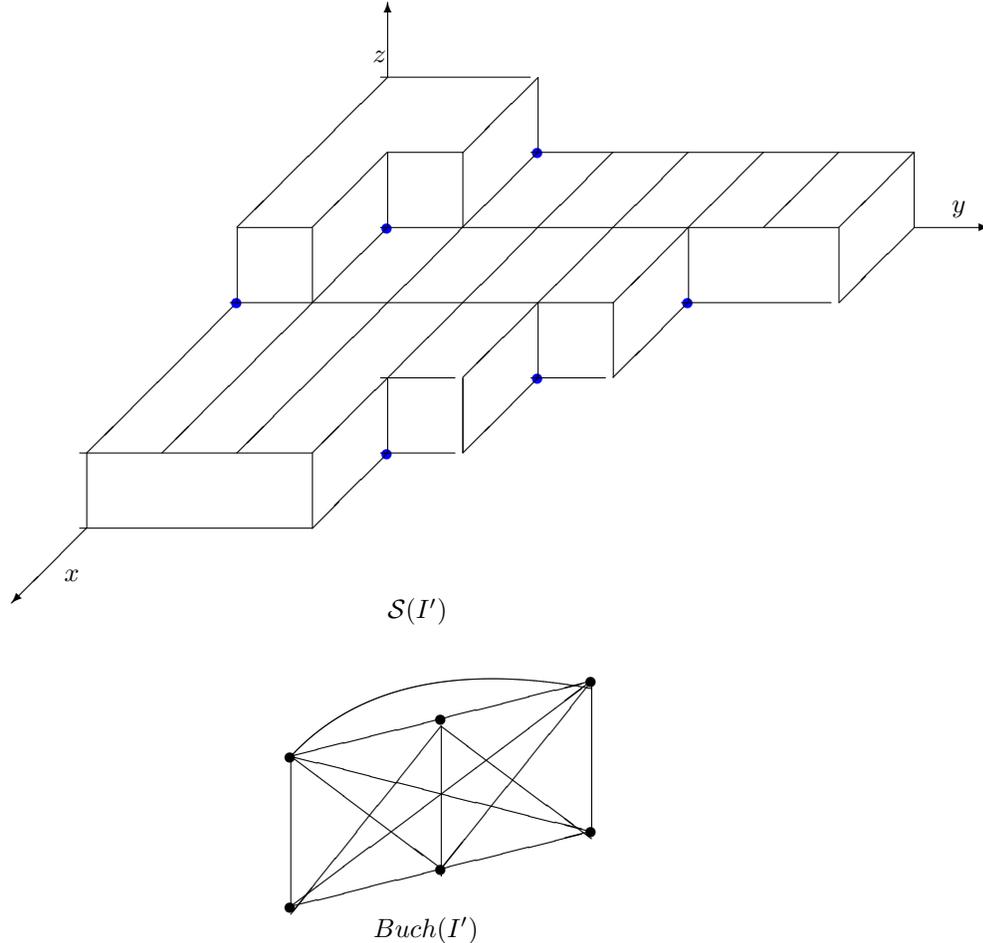


Figure 2.15

### 3 Strongly generic monomial ideals

**Definition 3.1.** A monomial ideal  $I \subset S = \mathbb{K}[X_1, \dots, X_n]$  is called **strongly generic** if there are no two minimal generators for  $I$  with the same nonzero exponent for the same variable.

**Example 3.2.** 1.  $I = \langle X^2, YZ, XZ \rangle \subset \mathbb{K}[X, Y, Z]$  is not strongly generic since in the second and in the third monomial generator, the variable  $z$  has the same exponents.

2.  $J = \langle X^2, YZ, XZ^2 \rangle \subset \mathbb{K}[X, Y, Z]$  is strongly generic.

3. In  $S = \mathbb{K}[X, Y]$  any monomial ideal  $I$  is strongly generic.

**Remark 3.3.** If we see monomials in  $S$  as lattice points in  $\mathbb{R}^n$ , then  $I$  is strongly generic if and only if for any  $i \in \{1, \dots, n\}$  and any  $a \in \mathbb{N}$ , on the hyperplane given by the equation  $X_i - a = 0$  there is at most one minimal generator.

**Remark 3.4.** The notion of strongly generic ideal has been introduced by Bayer, Peeva and Sturmfels in [1] but under the name "generic ideals". Later Miller, Sturmfels and Yanagawa [5] have enlarged the class of generic ideals by changing the definition. These ideals previously called "generic" were to be called "strongly generic". The tree ideals (see [2]) are generic ideals without being strongly generic.

**Proposition 3.5.** If  $I = \langle m_1, \dots, m_r \rangle$  is strongly generic, then  $\{i, j\} \in \text{Buch}(I)$  if and only if there exists  $k \in \{1, \dots, r\}$  with  $m_k \mid \text{LCM}(m_i, m_j)$ .

*Proof.* Just use the definition for  $\text{Buch}(I)$  and the strongly genericity of  $I$ .  $\square$

**Remark 3.6.** If  $I \subseteq S$  is strongly generic and we know that the monomial  $m \in S$  is of the form  $m = \text{LCM}(u, v)$  for some  $u$  and  $v$  minimal generators of  $I$ , then  $u$  and  $v$  can be immediately found. Indeed, looking at the exponent in  $m$  for any variable we can identify uniquely the monomial it comes from.

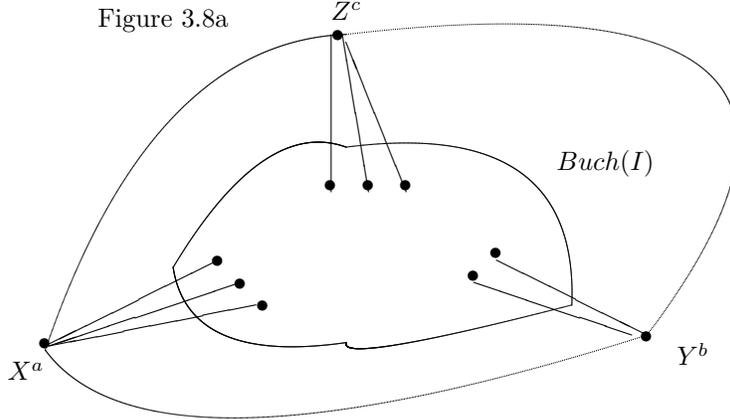
**Remark 3.7.** The word "generic" in the definition is probably used in connection with the fact that if we identify the  $r$  generators with a lattice point in  $\mathbb{R}^n$ , then this point corresponds to a point outside the hyperplanes with equations  $a_{ij} - a_{kj} = 0$ ,  $\forall i \neq j$ ,  $1 \leq i, k \leq n$ ,  $1 \leq j \leq r$ .

The following result is a particular case of [4, Theorem 6.13] where it is stated for generic ideals in  $n \in \mathbb{N}^*$  indeterminates.

**Proposition 3.8.** If  $I$  is a strongly generic ideal in  $S = \mathbb{K}[X, Y, Z]$ , then  $\text{Buch}(I)$  is a connected planar graph. Furthermore, if  $I$  is artinian, then  $\text{Buch}(I)$  is made up of the edges of a triangulation of a triangle.

*Proof.* For the first part of the proposition we notice that it is enough to consider the artinian case, too. Indeed, if  $I$  is not artinian, then some (or maybe all) of the pure powers of the variables  $X$ ,  $Y$  and  $Z$  are missing from  $I$ . Hence, adding

$X^a$ ,  $Y^b$  and  $Z^c$  (or only the missing ones) to  $I$ , for  $a, b, c \in \mathbb{N}$  big enough we get  $I' = I + \langle X^a, Y^b, Z^c \rangle$  an artinian ideal. Notice that  $Buch(I) \subset Buch(I')$  since by adding these generators we do not delete any edge already in  $Buch(I)$ , and also no other edge between vertices in  $Buch(I)$  can occur. Hence  $Buch(I')$  looks like in Figure 3.8a.



Therefore, if  $Buch(I')$  were the triangulation of a triangle,  $Buch(I)$  would stay planar and connected.

Let  $I$  be an artinian ideal,  $I = \langle m_1, \dots, m_r \rangle$ . In order to prove the planarity of  $Buch(I)$  we embed it on the staircase surface  $\mathcal{S}(I)$  which we know it is bounded and via projection it can be embedded in the plane (see Proposition 2.13).

Notice that if  $\{i, j\} \in Buch(I)$ , then  $m_i$  and  $m_j$  are on  $\mathcal{S}(I)$ , but also  $LCM(m_i, m_j)$  is on the staircase surface (here by saying that a monomial lies on  $\mathcal{S}(I)$  we mean that the point in  $\mathbb{R}^n$  having as coordinates the exponent of the monomial, lies on  $\mathcal{S}(I)$ ). Indeed, if  $LCM(m_i, m_j) \notin \mathcal{S}(I)$  since  $LCM(m_i, m_j) \in I$  this means that there exist another generator  $m_k$  with  $m_k \mid LCM(m_i, m_j)$ , contradiction with  $\{i, j\} \in Buch(I)$ .

For any edge  $\{i, j\} \in Buch(I)$  we attach a path on  $\mathcal{S}(I)$  such that no two such paths intersect unless they correspond to adjacent edges: first we build a path from  $m_i$  to  $LCM(m_i, m_j)$ , then another path from  $m_j$  to  $LCM(m_i, m_j)$ . They unite and give a path from  $m_i$  to  $m_j$  through  $LCM(m_i, m_j)$ . On each of the coordinate planes  $xOy$ ,  $yOz$  and  $xOz$  these paths will be piecewise linear, as the staircases drawn for ideals in two variables (see Figure 3.8b).

$I$  is strongly generic, hence any coordinate of  $LCM(m_i, m_j)$  comes from exactly one of the monomials. Let us draw the path from  $m_i$  to  $LCM(m_i, m_j)$ : we advance on  $\mathcal{S}(I)$  by increasing as much as needed the  $x$ -coordinates (keeping the others fixed), then by increasing  $y$ -coordinate (keeping the  $z$ -part fixed) and finally by increasing the  $z$ -coordinate. We end up in  $LCM(m_i, m_j)$ . It is an easy exercise to prove that these paths are indeed on  $\mathcal{S}(I)$ . By doing this we have obtained a path where each point with integer coordinates has at least one



gets a sequence of edges in  $Buch(I)$  whose vertices have strictly increasing  $x$ -coordinates and weakly decreasing  $y$ - and  $z$ -coordinates. The last vertex in this sequence of edges is  $X^a$ . By changing the axis we start with we obtain all the three desired paths. Due to the monotony, they intersect only at  $m_i$ .

It only remains to prove that the embedding of  $Buch(I)$  on  $\mathcal{S}(I)$  is the triangulation of a triangle. We know it is a planar graph, so we need to check that each of the regions that appear is a triangle, equivalently there is no unshortable cycle of length more or equal than 4. Given an edge  $e = \{i, j\} \in Buch(I)$ , how can we find the third vertex of the face(s) that contain  $e$ ? We look for  $k$  such that  $LCM(m_i, m_j, m_k) \in \mathcal{S}(I)$ . There are at most two choices for such  $k$ .  $\square$

Let us speak about minimal free resolutions of monomial ideals in three indeterminates. Take  $I = \langle m_1, \dots, m_r \rangle \subseteq S := \mathbb{K}[X, Y, Z]$  a monomial ideal. By *Hilbert's Syzygy Theorem* we know that  $S/I$  has a minimal free  $\mathbb{Z}^3$ -graded resolution of length less or equal than 3:

$$0 \longrightarrow S^{\beta_2} \longrightarrow S^{\beta_1} \longrightarrow S^{r=\beta_0} \longrightarrow S \longrightarrow S/I \longrightarrow 0.$$

Since it is multigraded, the differentials are homogeneous maps and the generators need to be shifted. Hence the graded Betti numbers  $\beta_i$  above decompose as multigraded Betti numbers:  $\beta_i = \sum_{\underline{a} \in \mathbb{Z}^n} \beta_{i, \underline{a}}$ :

$$0 \longrightarrow \bigoplus_{\underline{a} \in \mathbb{Z}^n} S(-\underline{a})^{\beta_{2, \underline{a}}} \longrightarrow \bigoplus_{\underline{a} \in \mathbb{Z}^n} S(-\underline{a})^{\beta_{1, \underline{a}}} \longrightarrow \bigoplus_{i=1}^r S(-a_i) \longrightarrow S \longrightarrow S/I \longrightarrow 0$$

where  $m_i = \mathbf{X}^{a_i}$ , and  $a_i \in \mathbb{Z}^3$ .

**Construction 3.9.** We shall construct such a complex starting with a planar map of a planar graph  $G$ . Suppose it has  $r$  vertices,  $e$  edges and  $f$  regions. We label the  $i^{th}$  vertex with  $m_i$ , the  $\{i, j\}$  edge with  $m_{ij} = LCM(m_i, m_j)$  and the region  $R$  with  $m_R$  the  $LCM$  of the labels of all its vertices. Our complex will look like:

$$\begin{array}{ccccccc} 0 & \longrightarrow & S^f & \xrightarrow{\partial_F} & S^e & \xrightarrow{\partial_E} & S^r & \xrightarrow{\partial_V} & S & \longrightarrow & S/I & \longrightarrow & 0 \\ & & \parallel & & \parallel & & \parallel & & & & & & \\ & & \bigoplus S(-a_R) & & \bigoplus S(-a_{ij}) & & \bigoplus S(-a_i) & & & & & & \end{array}$$

where  $a_i$ ,  $a_{ij}$  and  $a_R$  are the exponents of  $m_i$ ,  $m_{ij}$  and  $m_k$  respectively. Denote by  $e_i$ ,  $e_{ij}$  and  $e_k$  the corresponding generators. The differentials are:

$$\begin{aligned} \partial_V(e_i) &= m_i \\ \partial_E(e_{ij}) &= \frac{m_{ij}}{m_j} \cdot e_j - \frac{m_{ij}}{m_i} \cdot e_i \\ \partial_F(e_R) &= \sum_{\substack{\{i,j\} \\ \text{edge in } R}} \pm \frac{m_R}{m_{ij}} \cdot e_{ij} \end{aligned}$$

**Exercise 3.10.** Check that this is a complex, i.e.  $\partial \circ \partial = 0$ .

**Theorem 3.11.** *Given a strongly generic ideal  $I \subseteq S = \mathbb{K}[X, Y, Z]$ , the planar map of  $Buch(I)$  given by the embedding on  $\mathcal{S}(I)$  produces a minimal free  $\mathbb{Z}^3$ -graded resolution of  $S/I$  (via Construction 3.9).*

*Proof.*

**Step 1.** As with Proposition 3.8, we reduce to the artinian case. If  $I$  is not artinian, by adding some already non-existing pure powers of the variables we obtain  $I' = I + \langle X^a, Y^b, Z^c \rangle$  which is an artinian ideal. Suppose the planar map  $G'$  of  $I'$  gives a minimal free multigraded resolution of  $S/I'$ . If we consider the chain subcomplex in degree less or equal than  $(a-1, b-1, c-1)$  we notice that it resolves  $S/I$ . Indeed, the labels of edges that contain  $X^a, Y^b$  or  $Z^c$  have at least one nonzero component equal to  $a, b$  or  $c$  respectively, hence these edges/summands do not appear in the chain subcomplex in degree less or equal than  $(a-1, b-1, c-1)$ . If we go back to Proposition 3.8 and see how  $Buch(I)$  is included in  $Buch(I')$ , we see that this subcomplex is the same with the one obtained for  $Buch(I)$  via Construction 3.9.

**Step 2.** Assume  $I$  is an artinian ideal. A multigraded minimal free resolution of  $S/I$  is given by the degrees of minimal generators (the shifts) in each homological degree, their multiplicities (i.e. the multigraded Betti numbers) and the monomial matrices that give the differentials. According to [4] the multigraded Betti numbers can be computed via  $K^{\underline{b}}(I)$ , the upper Koszul simplicial complex, for each multidegree  $\underline{b}$ .

□

We stop here our proof in order to review the definition of  $K^{\underline{b}}(I)$  and some easy to prove properties:

If  $I$  is a monomial ideal and  $\underline{b} \in \mathbb{N}^n$ , then **the upper Koszul simplicial complex** is defined as:

$$K^{\underline{b}}(I) = \{\text{square-free vectors } \tau \subset \{0, 1\}^n \mid X^{\underline{b}-\tau} \in I\}.$$

**Proposition 3.12.** *With the above notations, the following hold:*

- i)  $K^{\underline{b}}(I) = \emptyset \Leftrightarrow X^{\underline{b}} \notin I$ .
- ii)  $K^{\underline{b}}(I) \neq \emptyset \Leftrightarrow X^{\underline{b}} \in I$ .
- iii)  $K^{\underline{b}}(I) = \{\emptyset\} \Leftrightarrow X^{\underline{b}}$  is a minimal generator of  $I$ .
- iv)  $K^{\underline{b}}(I) = \{0, 1\}^n \Leftrightarrow X^{\underline{b}-\mathbf{1}} \in I$ , where  $\mathbf{1} = (1, 1, \dots, 1) \in \mathbb{N}^n$

**Corollary 3.13.**  $\underline{b} \in \mathcal{S}(I)$  if and only if  $\emptyset \neq K^{\underline{b}}(I)$  and  $K^{\underline{b}}(I) \neq \{0, 1\}^n$ , the  $(n-1)$ -simplex.

These properties show that for most of the  $\underline{b} \in \mathbb{N}^n$ ,  $K^{\underline{b}}(I)$  is either  $\emptyset$  or the  $(n-1)$ -simplex; only when  $\underline{b}$  is "close" and "above" the staircase surface  $\mathcal{S}(I)$ ,  $K^{\underline{b}}(I)$  becomes interesting. A result that explains its utility is the following:

**Theorem 3.14.** Given  $\underline{b} \in \mathbb{N}^n$  and a monomial ideal  $I$ , then

$$\beta_{i, \underline{b}}(I) = \beta_{i+1, \underline{b}}(S/I) = \dim_{\mathbb{K}} \tilde{H}_{i-1}(K^{\underline{b}}(I), \mathbb{K})$$

*Proof.* See the proof given in [4, Theorem 1.34]. □

*Proof.* (continuation of the proof of Theorem 3.11)

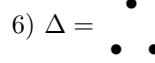
Using the above theorem we plan to find the nonzero Betti numbers. Obviously,  $\beta_{1, \underline{b}}(S/I) = \beta_{0, \underline{b}}(I)$  equals 1 if  $X^{\underline{b}}$  is a minimal generator for  $I$ , and it equals 0 otherwise.

$\beta_{2, \underline{b}}(S/I) = \beta_{1, \underline{b}}(I) = \dim_{\mathbb{K}} \tilde{H}_0(K^{\underline{b}}(I), \mathbb{K}) = (\text{the number of connected components of } K^{\underline{b}}(I)) - 1.$

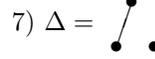
Therefore, to have a minimal 1<sup>st</sup> syzygy in degree  $\underline{b}$ ,  $K^{\underline{b}}(I)$  needs to be disconnected and hence  $\underline{b} \in \mathcal{S}(I)$ .

Up to isomorphism, this is the list of all simplicial complexes  $\Delta$  on  $n = 3$  vertices:

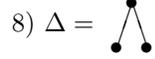
1)  $\Delta = \emptyset$



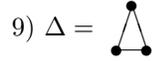
2)  $\Delta = \{\emptyset\}$



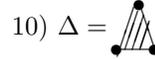
3)  $\Delta = \bullet$



4)  $\Delta = \bullet \quad \bullet$



5)  $\Delta = \bullet \text{---} \bullet$



$K^{\underline{b}}$  is disconnected only in the cases no. 4), 7) when it has 2 connected components and in the case no. 6) when it has 3 connected components. Let us analyze each of these situations.

i) If  $K^{\underline{b}}(I)$  is as in case 6) above, "around"  $\underline{b}$ ,  $\mathcal{S}(I)$  looks like in Figure 3.11a where a black dot means a point in  $I$ , "\*" means a point not in  $I$  and "□" means a minimal generator.

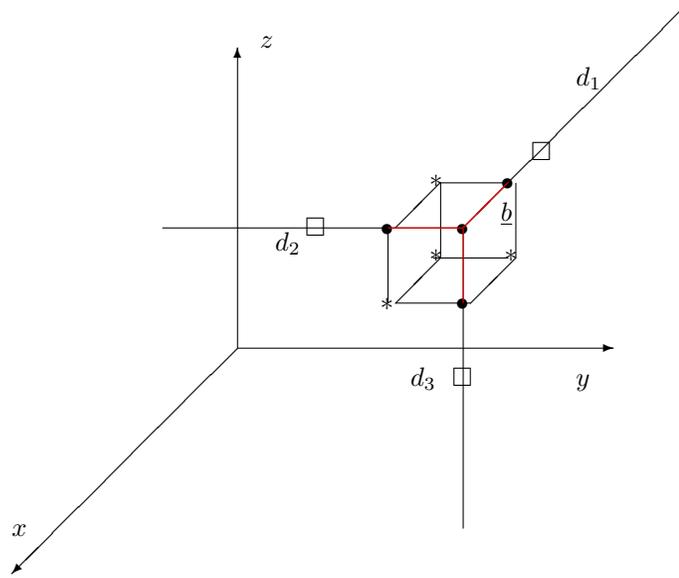


Figure 3.11a

We know that  $X^{\underline{b}}, X^{\underline{b}-(0,0,1)}, X^{\underline{b}-(0,1,0)}, X^{\underline{b}-(1,0,0)} \in I$  and all the other vertices of the unit cube drawn in Figure 3.11a are not in  $I$ ; hence on each of the three line segments  $d_1, d_2, d_3$  starting from  $\underline{b}$  parallel to the coordinate axes there has to be a minimal generator for  $I$ . But two such generators have a nonzero common coordinate, hence this configuration of  $K^{\underline{b}}(I)$  can not occur if  $I$  is strongly generic.

- ii) If  $K^{\underline{b}}(I)$  is as in case 7) above, "around"  $\underline{b}$ ,  $\mathcal{S}(I)$  looks like in Figure 3.11b, with the same notations as before. Here, there is a minimal generator  $m'$  in the "rectangle" spanned by  $d_2$  and  $d_3$  and there is another minimal generator  $m''$  on  $d_1$ . Hence  $X^{\underline{b}} = LCM(m', m'')$ . Moreover, since  $X^{\underline{b}-(1,1,1)} \notin I$ , there is no other minimal monomial generator of  $I$  (except  $m'$  and  $m''$ ) which divides  $X^{\underline{b}}$ . In other words, between  $m'$  and  $m''$  there is an edge in  $Buch(I)$ .

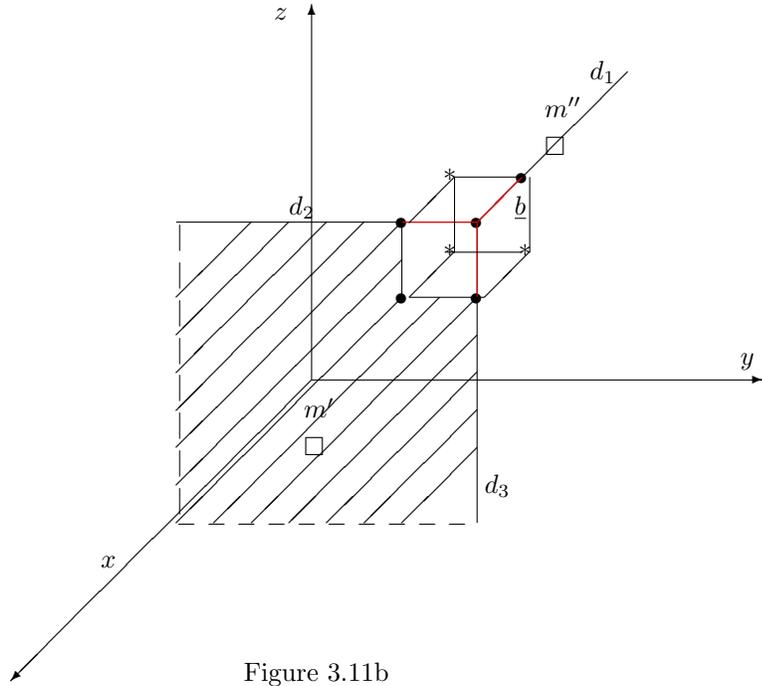


Figure 3.11b

- iii) If  $K^{\underline{b}}(I)$  is as in case 4) above, "around"  $\underline{b}$  the staircase surface looks like in Figure 3.11c.

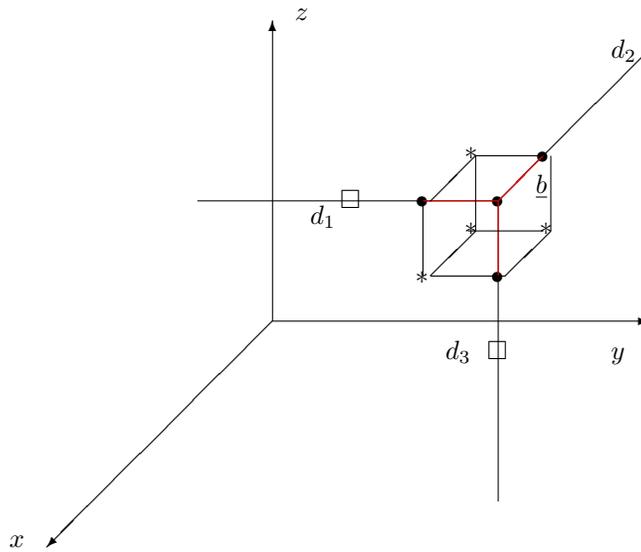


Figure 3.11c

We leave to the reader the analysis of this case. One will obtain the same result as for *ii*).

In conclusion, there is a minimal 1<sup>st</sup> syzygy in degree  $\underline{b}$  if and only if  $\mathbf{X}^{\underline{b}} = LCM(m', m'')$  where  $m'$  and  $m''$  are two minimal monomial generators connected by an edge in  $Buch(I)$ . Using Theorem 3.14

$$\beta_{1, \underline{b}}(I) = \begin{cases} 1, & \text{if } \mathbf{X}^{\underline{b}} = LCM(m_i, m_j) \text{ and } \{i, j\} \in Buch(I) \\ 0, & \text{otherwise} \end{cases}$$

We pass to the 2<sup>nd</sup> syzygies of  $I$ :

$\beta_{3, \underline{b}}(S/I) = \beta_{2, \underline{b}}(I) = \dim_{\mathbb{K}} \tilde{H}_1(K^{\underline{b}}(I), \mathbb{K})$ . Of all possible simplicial complexes on 3 vertices listed before, only in case 9) we have nonzero reduced 1<sup>st</sup> homology, and for such  $\underline{b}$ ,  $\beta_{2, \underline{b}}(I) = 1$ . In this case, "around"  $\underline{b}$  the staircase surface  $\mathcal{S}(I)$  looks like in Figure 3.11c.

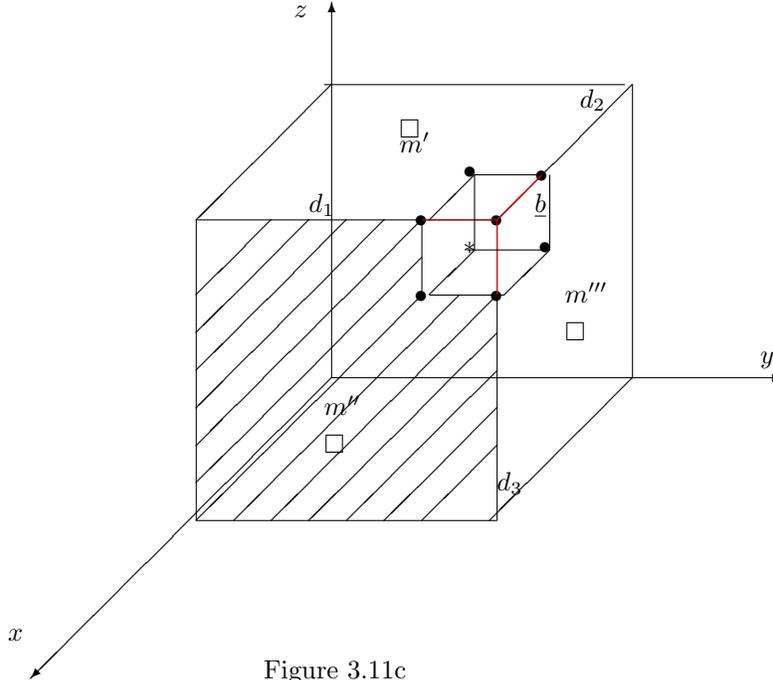


Figure 3.11c

Of all the vertices of the unit cube pictured, only  $\mathbf{X}^{\underline{b}-1} \notin I$ . Hence, as previously shown, there is a minimal monomial generators  $m'$ ,  $m''$  and  $m'''$  on each of the rectangular faces spanned by  $d_1$  and  $d_2$ ,  $d_2$  and  $d_3$ ,  $d_1$  and  $d_3$  respectively.

One sees easily that between every two of  $m'$ ,  $m''$  and  $m'''$  there is an edge in  $Buch(I)$  and  $\mathbf{X}^{\underline{b}} = LCM(m', m'', m''')$ . Conversely, if  $\mathbf{X}^{\underline{b}}$  can be expressed like this, we have a minimal second syzygy for  $I$  in degree  $\underline{b}$ .

In conclusion, looking at the way  $Buch(I)$  is canonically embedded into  $\mathcal{S}(I)$ , we "read" the 0-syzygies of  $I$  as the "inner corners" of  $\mathcal{S}(I)$ , the  $2^{nd}$ -syzygies of  $I$  as the "outer corners" of  $\mathcal{S}(I)$  and the  $1^{st}$ -syzygies of  $I$  as corners that are *LCMs* of pairs of generators that form an edge in  $Buch(I)$ . On the canonical planar map of  $Buch(I)$ , if we label the vertices with the respective 0-syzygies, the  $1^{st}$ -syzygies represent the *LCMs* of the ends of the edges in  $Buch(I)$ , and the  $2^{nd}$ -syzygies are the *LCMs* of vertices that form the triangles in the planar representation.

The chain complex constructed from this data after the recipe given in Construction 3.9 is a minimal  $\mathbb{Z}^3$ -graded free resolution of  $S/I$ .  $\square$

We wish to extend the Construction 3.9 from planar graphs to arbitrary simplicial complexes, doing the labeling in a similar manner. This construction has been introduced by D. Bayer, I. Peeva and B. Sturmfels in [1]:

**Construction 3.15.** Let  $\Delta$  be a simplicial complex whose vertices are labeled by the minimal generators of a monomial ideal  $I = \langle m_1, \dots, m_r \rangle \subseteq S := \mathbb{K}[X_1, \dots, X_n]$ . We label each face of  $\Delta$  by the *LCM* of its vertices. Let  $\mathcal{F}_\Delta$  be the  $\mathbb{N}^n$ -graded chain complex of  $\Delta$  over  $S$ : it is obtained from the simplicial chain of  $\Delta$  by homogenizing the differential:

$$\mathcal{F}(\Delta) := \bigoplus_{\substack{J \subseteq \Delta \\ \text{face}}} S(-a_J) \text{ and } d(e_J) = \sum_{i \in I} \text{sign}(i, J) \cdot \frac{\mathbf{X}^{a_J}}{\mathbf{X}^{a_{J \setminus \{i\}}}} \cdot e_{J \setminus \{i\}}$$

where  $m_i = \mathbf{X}^{a_i}$ ,  $\forall i = 1, \dots, r$ ,  $\mathbf{X}^{a_J} := \text{LCM} \{ \mathbf{X}^{a_i} \mid i \in J \}$ ,  $e_J$  is the generator of  $S(-a_J)$  and  $\text{sign}(i, J) = (-1)^{t-1}$  if  $i$  is the  $t^{th}$  element of the set  $J \subset \{1, 2, \dots, r\}$  written in increasing order.

If the complex  $\mathcal{F}_\Delta$  is exact, we call it the resolution defined by the labeled simplicial complex. If this is the case,  $\mathcal{F}_\Delta$  resolves  $S/I$ . Such a resolution is characteristic free.

**Example 3.16.** Take  $n = 3$ ,  $S = \mathbb{K}[X, Y, Z]$ ,  $\Delta = \langle \{1, 2\}, \{1, 3\} \rangle$  a simplicial complex, and we label its vertices 1, 2, 3 with the monomials  $m_1 = X^2Y$ ,  $m_2 = XZ$  and  $m_3 = YZ^3$  respectively. Set  $\mathcal{F}_\Delta = \bigoplus_{\substack{J \subseteq \Delta \\ \text{face}}} S(-a_J)$

$$\mathcal{F}_\Delta : 0 \longrightarrow \begin{array}{c} S(-a_{12}) \\ \oplus \\ S(-a_{13}) \end{array} \xrightarrow{\partial_1} \begin{array}{c} S(-a_1) \\ \oplus \\ S(-a_2) \\ \oplus \\ S(-a_3) \end{array} \xrightarrow{\partial_0} S \longrightarrow 0,$$

that is

$$\begin{array}{ccccccc}
& & & & S(-2, 1, 0) & & \\
& & & & \oplus & & \\
& & S(-2, 1, 1) & \begin{pmatrix} -1 & -1 \\ 1 & 0 \\ 0 & 1 \end{pmatrix} & \oplus & & \\
\mathcal{F}_\Delta : 0 & \longrightarrow & \oplus & \longrightarrow & S(-1, 0, 1) & \xrightarrow{(1,1,1)} & S \longrightarrow 0 \\
& & S(-2, 1, 3) & & \oplus & & \\
& & & & S(-0, 1, 3) & & \\
\partial(e_{12}) = -Ze_1 + XYe_2, & \partial(e_{13}) = -Z^3e_1 + X^2e_3 & & & & & \\
\partial(e_1) = X^2Y, & \partial(e_2) = XZ, & \partial(e_3) = YZ^3 & & & & 
\end{array}$$

**Exercise 3.17.** Check that the chain complex in Example 3.16 is not a resolution of  $\mathbb{K}[X, Y, Z]/\langle X^2Y, XZ, YZ^3 \rangle$ .

Hint: Use the connection between Scarf complexes and minimal resolutions from [2].

**Example 3.18.** If  $I = \langle m_1, \dots, m_r \rangle$ , take  $\Delta$  to be the  $(r-1)$ -simplex and we label the vertices with the generators of  $I$ . In this case,  $\mathcal{F}_\Delta$  is called **the Taylor complex** which is in fact a resolution (the so-called **Taylor resolution**). See [2] for a proof.

**Lema 3.19.** *The complex  $\mathcal{F}_\Delta$  is exact if and only if for every monomial  $m$ , the simplicial complex  $\Delta[m] := \{J \in \Delta \mid m_J \text{ divides } m\}$  is empty or acyclic over  $\mathbb{K}$ .*

*Proof.* Since  $\mathcal{F}_\Delta$  is  $\mathbb{N}^n$ -graded, it suffices to check exactness in each multidegree. The component of  $\mathcal{F}_\Delta$  in multidegree  $m$  is a complex of finite dimensional  $\mathbb{K}$ -vector spaces, which can be identified with the chain complex of  $\Delta[m]$  over  $\mathbb{K}$ .  $\square$

**Definition 3.20.** *For any monomial ideal  $I = \langle m_1, \dots, m_r \rangle \subseteq S$  we define a simplicial complex*

$$\Delta_I = \{J \subseteq \{1, \dots, r\} \mid m_J \neq m_{J'}, \forall J' \subset \{1, \dots, r\}, J \neq J'\}$$

*and call it the **Scarf complex** of  $I$ .*

**Lema 3.21.** *If all nonzero Betti numbers of  $S/I$  are concentrated in the multidegrees  $a_J$  of the faces  $J$  of  $\Delta_I$ , then  $\mathcal{F}_{\Delta_I}$  is the minimal free resolution of  $S/I$ .*

*Proof.* If the minimal free resolution of  $S/I$  is strictly larger than  $\mathcal{F}_{\Delta_I}$ , then the Taylor resolution has at least two basis elements in some multidegree  $a_J$  for  $J \in \Delta_I$ . This contradicts the definition of  $\Delta_I$ .  $\square$

**Theorem 3.22.** (Bayer, Peeva, Sturmfels). *Let  $I$  be a strongly generic monomial ideal. Then the complex  $\mathcal{F}_{\Delta_I}$  defined by the Scarf complex  $\Delta_I$  is a minimal free resolution of  $S/I$  over  $S$ .*

*Proof.* If  $J \in \Delta_I$  and  $j \in J$ , then  $m_{J \setminus \{j\}}$  properly divides  $m_J$ . Thus, all the maps in  $\mathcal{F}_{\Delta_I}$  are minimal. It remains to show that  $\mathcal{F}_{\Delta_I}$  is exact. We shall use the previous lemma. Consider any multidegree  $a_J$  with  $J \notin \Delta_I$ . But  $\beta_{j, a_J}$  equals the  $\mathbb{K}$ -dimension of the homology of the Koszul complex at  $\mathbb{K}_j := \Lambda^j(S/I)^n$  in degree  $a_J$ . The component of  $\mathbb{K}_j$  in degree  $a_J$  is contained in the  $S/I$  module  $\frac{m_J}{\text{supp}(m_J)}\mathbb{K}_j$  where  $\text{supp}(m_J)$  is the maximal square-free monomial dividing  $m_J$ . To prove that this component is zero, it suffices to show that  $\frac{m_J}{\text{supp}(m_J)}$  is zero in  $S/I$ . Choosing  $J$  minimal with respect to inclusion, we may assume  $m_J = m_{J \cup \{\ell\}}$  for some  $\ell \in \{1, \dots, r\} \setminus J$ . The monomials  $m_\ell$  and  $m_J$  have different exponents in any fixed variable because  $I$  is generic. So  $m_\ell$  divides  $\frac{m_J}{\text{supp}(m_J)}$ . This proves that all nonzero Betti numbers of  $S/I$  are concentrated in the multidegrees that label the faces of  $\Delta_I$ , and we can now use Lemma 3.21 to get the conclusion.  $\square$

More results on Scarf complexes and their utility will be presented in [2].

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